

Form Equivalent To PTO-1449

Applicant: Michael Ries, et al.

Serial No.:

Filing Date: 01/22/2004

For: Femoral Hip Prosthesis and Method of Implantation

10/763,314

Sheet 1 of 13

Att'y Docket No. MLI-10

Group:

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

Examiner Initial*	Document Number	Issue Date	Name
1	US3512184	5/19/1970	NED M. GROVE
2	US3658056	4/25/1972	Huggler; Arnold H., et al.
3	US3683421	8/15/1972	Martinie; Howard M.
4	US3782373	1/1/1974	Smythe; Walter J.
5	US3848272	11/19/1974	Noiles; Douglas G.
6	US3864758	2/11/1975	Yakich; Sam Savo
7	US3871031	3/18/1975	Boutin; Pierre
8	US3894297	7/15/1975	Mittelmeier; Heinz, et al.
9	US3916451	11/4/1975	Buechel; Frederick F., et al.
10	US4001897	1/11/1977	Rambert; Andre, et al.
11	US4005495	2/1/1977	Locke; Helmut, et al.
12	US4012795	3/22/1977	Dorre; Erhard, et al.
13	US4012796	3/22/1977	Weisman; Sidney, et al.
14	US4021865	5/10/1977	Charnley; John
15	US4080666	3/28/1978	Fixel; Irving E.
16	US4129903	12/19/1978	Huggler; Arnold H.
17	US4187559	2/12/1980	Grell; Helmut, et al.

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Sheet 2 of 13

Applicant: Michael Ries, et al.

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Group:

For: Femoral Hip Prosthesis and Method of Implantation

<input checked="" type="checkbox"/>	18	US4224699	9/30/1980	Weber; Bernhard G.
<input type="checkbox"/>	19	US4274164	6/23/1981	Rehder; Gunther, et al.
<input type="checkbox"/>	20	US4312079	1/26/1982	Dorre; Erhard, et al.
<input type="checkbox"/>	21	US4314381	2/9/1982	Koeneman; James B.
<input type="checkbox"/>	22	US4332036	6/1/1982	Sutter; Franz, et al.
<input type="checkbox"/>	23	US4454612	6/19/1984	McDaniel; John M., et al.
<input type="checkbox"/>	24	US4488320	12/18/1984	Wilson; Michael T.
<input type="checkbox"/>	25	US4530114	7/23/1985	Tepic; Slobodan
<input type="checkbox"/>	26	US4532661	8/6/1985	Halpern; Alan A.
<input type="checkbox"/>	27	US4546501	10/15/1985	Gustilo; Ramon B., et al.
<input type="checkbox"/>	28	US4549319	10/29/1985	Meyer; Benjamin S.
<input type="checkbox"/>	29	US4608052	8/26/1986	Van Kampen; Craig L., et al.
<input type="checkbox"/>	30	US4608055	8/26/1986	Morrey; Bernard F., et al.
<input type="checkbox"/>	31	US4619659	10/28/1986	Witzel; Ulrich
<input type="checkbox"/>	32	US4623349	11/18/1986	Lord; Gerald
<input type="checkbox"/>	33	US4645506	2/24/1987	Link; Helmut D.
<input type="checkbox"/>	34	US4661112	4/28/1987	Muller; Maurice E.
<input type="checkbox"/>	35	US4664668	5/12/1987	Beck; Heinrich, et al.
<input type="checkbox"/>	36	US4670015	6/2/1987	Freeman; Michael A. R.
<input type="checkbox"/>	37	US4676799	6/30/1987	Legrand; Raymond

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Date Considered: 3/14/06

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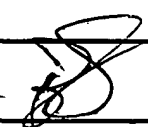
Filing Date: 01/22/2004

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For: Femoral Hip Prosthesis and Method of Implantation

38	US4681590	7/21/1987	Tansey; John
39	US4686971	8/18/1987	Harris; William H., et al.
40	US4693724	9/15/1987	Rhenter; Jean L., et al.
41	US4718912	1/12/1988	Crowninshield; Roy D.
42	US4718916	1/12/1988	Morscher; Erwin W.
43	US4728334	3/1/1988	Spotorno; Lorenzo
44	US4728335	3/1/1988	Jurgutis; John A.
45	US4731088	3/15/1988	Collier; John P.
46	US4750905	6/14/1988	Koeneman; James, et al.
47	US4752296	6/21/1988	Buechel; Frederick F., et al.
48	US4770660	9/13/1988	Averill; Robert G.
49	US4770661	9/13/1988	Oh; Indong
50	US4775381	10/4/1988	Tari; Gabor, et al.
51	US4778469	10/18/1988	Lin; Ruey Y., et al.
52	US4790852	12/13/1988	Noiles; Douglas G.
53	US4792337	12/20/1988	M/u/ller; Maurice E.
54	US4795472	1/3/1989	Crowninshield; Roy D., et al.
55	US4795473	1/3/1989	Grimes; James B.
56	US4822368	4/18/1989	Collier; John P.
57	US4840632	6/20/1989	Kampner; Stanley L.

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58	US4846839	7/11/1989	Noiles; Douglas G.
59	US4846840	7/11/1989	Leclercq; Sylvain, et al.
60	US4846841	7/11/1989	Oh; Indong
61	US4851004	7/25/1989	Homsy; Charles A.
62	US4851007	7/25/1989	Gray; Frank B.
63	US4851008	7/25/1989	Johnson; Wesley
64	US4871369	10/3/1989	Muller; Charles J.
65	US4878917	11/7/1989	Kranz; Curt, et al.
66	US4881536	11/21/1989	Noble; Phillip C, et al.
67	US4888023	12/19/1989	Averill; Robert G., et al.
68	US4888024	12/19/1989	Powlan; Roy Y.
69	US4892551	1/9/1990	Haber; Terry M.
70	US4904266	2/27/1990	Barber; Forrest C.
71	US4904268	2/27/1990	Alvarado; Alfredo
72	US4908034	3/13/1990	Weightman; Barry O., et al.
73	US4908036	3/13/1990	Link; Helmut D., et al.
74	US4911722	3/27/1990	Crespy; Gilles
75	US4936863	6/26/1990	Hofmann; Aaron A.
76	US4938771	7/3/1990	Vecsei; Vilmos, et al.
77	US4938773	7/3/1990	Strand; John A.

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<input checked="" type="checkbox"/> 78	US4944761	7/31/1990	Stuhmer; Karl-Gerhart, et al.
<input type="checkbox"/> 79	US4944762	7/31/1990	Link; Helmut D., et al.
<input type="checkbox"/> 80	US4976740	12/11/1990	Kleiner; Jeffrey B.
<input type="checkbox"/> 81	US4986834	1/22/1991	Smith; Todd, et al.
<input type="checkbox"/> 82	US4995883	2/26/1991	Demane; Michael, et al.
<input type="checkbox"/> 83	US4998937	3/12/1991	Grimes; James B.
<input type="checkbox"/> 84	US5002578	3/26/1991	Luman; David P.
<input type="checkbox"/> 85	US5002579	3/26/1991	Copf; Franz, et al.
<input type="checkbox"/> 86	US5002580	3/26/1991	Noble; Philip C., et al.
<input type="checkbox"/> 87	US5002581	3/26/1991	Paxson; Robert D., et al.
<input type="checkbox"/> 88	US5004476	4/2/1991	Cook; Stephen D.
<input type="checkbox"/> 89	US5007935	4/16/1991	Vincent; Andre, et al.
<input type="checkbox"/> 90	US5030234	7/9/1991	Pappas; Michael J., et al.
<input type="checkbox"/> 91	US5035712	7/30/1991	Hoffman; Erik L.
<input type="checkbox"/> 92	US5035717	7/30/1991	Brooks; Peter J.
<input type="checkbox"/> 93	US5047060	9/10/1991	Henssge; Ernst J., et al.
<input type="checkbox"/> 94	US5047062	9/10/1991	Pappas; Michael J., et al.
<input type="checkbox"/> 95	US5057101	10/15/1991	Dorr; Lawrence D., et al.
<input type="checkbox"/> 96	US5080685	1/14/1992	Bolesky; Richard, et al.
<input type="checkbox"/> 97	US5087260	2/11/1992	Fixel; Irving E.

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3/14/01

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98	US5108451	4/28/1992	Forte; Mark R.
99	US5108452	4/28/1992	DeMane; Michael, et al.
100	US5116377	5/26/1992	Skripitz; Walter, et al.
101	US5116380	5/26/1992	Hewka; Leda C., et al.
102	US5133764	7/28/1992	Pappas; Michael J., et al.
103	US5133766	7/28/1992	Halpern; Alan A.
104	US5152795	10/6/1992	Sioshansi; Piran, et al.
105	US5156624	10/20/1992	Barnes; Milton F.
106	US5163963	11/17/1992	Hewka; Leda C., et al.
107	US5163964	11/17/1992	Lazzeri; Mark A., et al.
108	US5181928	1/26/1993	Bolesky; Richard, et al.
109	US5197988	3/30/1993	Spotorno; Lorenzo, et al.
110	US5197989	3/30/1993	Hinckfuss; Bruce W., et al.
111	US5197990	3/30/1993	Lawes; Peter, et al.
112	US5201771	4/13/1993	Belykh; Sergei I., et al.
113	US5201882	4/13/1993	Paxson; Robert D.
114	US5222985	6/29/1993	Homsy; Charles A.
115	US5246461	9/21/1993	Tepic; Slobodan
116	US5258033	11/2/1993	Lawes; Peter, et al.
117	US5286260	2/15/1994	Bolesky; Richard, et al.

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118	US5314489	5/24/1994	Hoffman; William H., et al.
119	US5336265	8/9/1994	Serbousek; Jon, et al.
120	US5370706	12/6/1994	Bolesky; Richard, et al.
121	US5376124	12/27/1994	Gustke; Kenneth A, et al.
122	US5376125	12/27/1994	Winkler; Heinz
123	US5376126	12/27/1994	Lin; Chih-I
124	US5387244	2/7/1995	Breard; Francis
125	US5389107	2/14/1995	Nassar; Antoine A., et al.
126	US5458653	10/17/1995	Davidson; James A.
127	US5458654	10/17/1995	Tepic; Slobodan
128	US5468243	11/21/1995	Halpern; Alan A.
129	US5480453	1/2/1996	Burke; Dennis W.
130	US5507814	4/16/1996	Gilbert; Jeremy L., et al.
131	US5507830	4/16/1996	DeMane; Michael, et al.
132	US5514182	5/7/1996	Shea; Kevin G.
133	US5514184	5/7/1996	Doi; Kenji, et al.
134	US5549704	8/27/1996	Sutter; Franz
135	US5571195	11/5/1996	Johnson; Lanny L.
136	US5571203	11/5/1996	Masini; Michael A.
137	US5591233	1/7/1997	Kelman; David C., et al.

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<u>138</u>	US5653764	8/5/1997	Murphy; Stephen B.
<u>139</u>	US5653765	8/5/1997	McTighe; Timothy, et al.
<u>140</u>	US5702448	12/30/1997	Buechel; Frederick F., et al.
<u>141</u>	US5702483	12/30/1997	Kwong; Louis M.
<u>142</u>	US5702487	12/30/1997	Averill; Robert G., et al.
<u>143</u>	US5725590	3/10/1998	Maumy; Jean, et al.
<u>144</u>	US5725592	3/10/1998	White; Patrick M., et al.
<u>145</u>	US5725593	3/10/1998	Caracciolo; Francesco
<u>146</u>	US5725594	3/10/1998	McTighe; Timothy, et al.
<u>147</u>	US5746771	5/5/1998	Clement, Jr.; Lemuel Vance, et al.
<u>148</u>	US5755807	5/26/1998	Anstaett; Gene, et al.
<u>149</u>	US5800553	9/1/1998	Albrektsson; Bjorn, et al.
<u>150</u>	US5800560	9/1/1998	Draenert; Klaus
<u>151</u>	US5814050	9/29/1998	Benson; Gail M.
<u>152</u>	US5858020	1/12/1999	Johnson; Wesley D., et al.
<u>153</u>	US5861042	1/19/1999	Buechel; Frederick F., et al.
<u>154</u>	US5876459	3/2/1999	Powell; Douglas Hunter
<u>155</u>	US5888208	3/30/1999	Ro; Gloria
<u>156</u>	US5906644	5/25/1999	Powell; Douglas Hunter
<u>157</u>	US5954771	9/21/1999	Richelsoph; Marc Evan, et al.

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Date Considered: 5/14/06

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Group:

For: Femoral Hip Prosthesis and Method of Implantation

158	US5980575	11/9/1999	Albrektsson; Bjorn, et al.
159	US6010535	1/4/2000	Shah; Mrugesh K.
160	US6120544	9/19/2000	Grundei; Hans, et al.
161	US6179877	1/30/2001	Burke; Dennis W.
162	US6193761	2/27/2001	Treacy; Debra J.
163	US6197065	3/6/2001	Martin; Daniel L., et al.
164	US6231611	5/15/2001	Mosseri; Raphael
165	US6273915	8/14/2001	Grimes; James B.
166	US6284002	9/4/2001	Sotereanos; Nicholas G.
167	US6290726	9/18/2001	Pope; Bill J., et al.
168	US6379390	4/30/2002	Advani; Suresh G., et al.
169	US6383226	5/7/2002	Carter; Dennis R., et al.
170	US6383227	5/7/2002	Baroud; Gamal, et al.
171	US6395004	5/28/2002	Dye; Donald W., et al.
172	US6402753	6/11/2002	Cole; J. Dean, et al.
173	US6402787	6/11/2002	Pope; Bill J., et al.
174	US6413280	7/2/2002	Feiler; Frederic C.
175	US6428578	8/6/2002	White; Patrick Michel
176	US6432141	8/13/2002	Stocks; Gregory W., et al.
177	US6482237	11/19/2002	Mosseri; Raphael

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178	US6488714	12/3/2002	Keller; Arnold
179	US6488716	12/3/2002	Huang; Guofu Li; Xue
180	US6503281	1/7/2003	Mallory; Thomas H.
181	US6514288	2/4/2003	Meulink; Steven L., et al.
182	US6524343	2/25/2003	Storer; John Andrew , et al.
183	US20020072805A1	6/13/2002	Sullivan, John Martin Patrick, et al.
184	US20020107520A1	8/8/2002	Hoffman, Erik Leonard
185	US20020111692A1	8/15/2002	Ralph, James D., et al.
186	US20020133234A1	9/19/2002	Sotereanos, Nicholas G.
187	US20020143402A1	10/3/2002	Steinberg, Amiram
188	US20020156536A1	10/24/2002	Harris, William H., et al.
189	US20030014123A1	1/16/2003	Copf, Peter, et al.
190	US20030033020A1	2/13/2003	Hunter, Gordon, et al.
191	US20030045941A1	3/6/2003	Lewallen, David G.
192	US20030050704A1	3/13/2003	Keynan, Ory
193	US20030060891A1	3/27/2003	Shah, Mrugesh K.
194	US20030065397A1	4/3/2003	Hanssen, Arlen D., et al.
195	US20030074079A1	4/17/2003	McTighe, Timothy, et al.
196	US20030074080A1	4/17/2003	Murray, Ian P.
197	US20030074083A1	4/17/2003	LeGros, Brian N., et al.

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198	US20030088316A1	5/8/2003	Ganjianpour, Ramin
199	US20030109933A1	6/12/2003	Weissman, Marc G., et al.
200	US20030120347A1	6/26/2003	Steinberg, Amiram
201	US20030130740A1	7/10/2003	Stocks, Gregory W., et al.
202	US20030171819A1	9/11/2003	Sotereanos, Nicholas G.
203	USRE32488	9/1/1987	Gustilo, Ramon B., et al.

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Examiner Initial*	Document Number	Publication Date	Country or Patent Office	Translation
204	WO0048535A1	8/24/2000	PCT/USA	English
205	WO03007852A1	1/30/2003	PCT/USA	English
206	WO03094803A1	11/20/2003	PCT/USA	English
207	WO8700033A1	1/15/1987	PCT/USA	English
208	WO9600539A1	1/11/1996	PCT/USA	English
209	WO9806359A1	2/19/1998	PCT/USA	English
210	EP065481B1	08/19/1987	EPO	NO
211	EP128036B1	5/13/1987	EPO	English
212	EP145617A2	6/19/1985	EPO	English
213	EP145617B1	6/8/1988	EPO	English
214	EP159510A2	03/09/1985	EPO	NO

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215	EP159510B1	05/30/1990	EPO	NO
216	EP220803A2	5/6/1987	EPO	English
217	EP266081A1	5/4/1988	EPO	English
218	EP310566A2	4/5/1989	EPO	English
219	EP539036A1	4/28/1993	EPO	English
220	EP539036B1	11/27/1996	EPO	English
221	EP728449B1	7/24/2002	EPO	English
222	EP761183B1	5/8/2002	EPO	English
223	EP841041B1	9/11/2002	EPO	English
224	EP852931B1	2/12/2003	EPO	English
225	EP966240B1	10/2/2002	EPO	English
226	EP1234556A2	8/28/2002	EPO	English
227	EP1240879A2	9/18/2002	EPO	English

Other Documents

(including author, title, pertinent pages, etc.)

Examiner

Initial***References Cited by Applicants**

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is

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readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Issue/Publication Date</u>	<u>Name</u>
1	US6514288	02/04/2003	Meulink
2	US5725595	03/10/1998	Gustilo
3	US5480452	01/02/1996	Hofmann

Foreign Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publication Date</u>	<u>Country or Patent Office</u>	<u>Translation</u>
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Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant: Michael D. Ries, et al.

Serial No.: 10/763,314

Docket No. MLI-10

Filing Date: January 22, 2004

Group:

For: Femoral Hip Prosthesis and Method of Implantation

Other Documents

(including author, title, pertinent pages, etc.)

Examiner

Initial***References Cited by Applicants**

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

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The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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